Foreign Account Tax Compliance Act (FATCA) Checklist (For Individuals & Sole Proprietors)							
Date:	Accou	nt Number	Change	e in Circumstances of	Existing Account		
Section A. Customer Type (please indicate as applicable)							
Individual/ Sole Proprietor Please use Applicant 1 column to provide your responses. Minor In case of Account of Minor, please use Applicant 1 Column for Minor and Applicant 2 Column for Guardian. Joint Please use separate column for each joint account applicant, use additional checklist if more than 2 applicant(s).							
Section B. US Status Inform	nation	4	pplicant 1	Арг	licant 2		
Name of Applicant As per instructions given in Customer Type Section							
1. Are you a US Citizen, a US	Green Card Holder or a US Reside	nt ¹ ? Yes	No	Yes	No		
	nd proceed to <u>Section C</u> below;						
2. Were you born in the US?		Yes	No	Yes	No		
and the second se		i) <u>Certificate/ Written Ex</u>	planation of Revoc	ation of US Nationa	li <u>ty</u> (ii) <u>A non-US</u>		
3. Do you have a US address	or telephone Number?	Yes	No	Yes	No		
4. Are you assigning a signatory authority/ mandate to a person US address?		on with a Yes	No	Yes	No		
5. Are you aware of any othe	er information that may indicate U	S links? Yes	No	Yes	No		
Including US source of funds/ income, US nationality, residence status of authorized signatory/ mandate holder, expected remittances to/from US etc.							
 For Questions 3, 4 and 5 above: If Yes and you accept being a US person: Provide Form W-9 and proceed to Section C below; If Yes and you claim being a non-US person: Provide an ID Document(CNIC/SNIC/NICOP) showing your permanent address (which should not be a US address), OR provide Form W-8BEN & proceed to section C; If No: No FATCA documentation required, proceed to Section C below. 							
Section C. Applicant Confin	mation {(to be filled by all applic	ant(s)}					
for Bank Alfalah Limited or domestic or overseas regula required by domestic or over amounts as may be required	e information provided above is tru any of its affiliates, subsidiaries (ators or tax authorities where new rseas regulators or tax authorities, according to applicable laws, regu ange in any information which l/we	including branches) (co cessary / applicable to I/we consent and agree lations and directives. I,	llectively "the Ban establish my/our that the Bank may we also agree and	k") to share my/ou tax liability in any withhold from my/	ir information with jurisdiction. Where our account(s) such		
Signature of Applicant(s)		Applicant	1	Applic	ant 2		
	· · · · · · · · · · · · · · · · · · ·						

Date:

For Bank's Use Only

¹ A person may be a U.S resident if the person was present for the period of 183 days or more during the current and last two preceding years. For further details, please refer to Tactful Questioning guidelines under the FATCA policy & procedure manual.

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FATCA Documentation Checklist	Applicant 1	Applicant 2			
Person with U.S citizenship/ U.S Green Card/ U.S residence	e				
Form W-9					
Person born in U.S					
Form W-9					
Person born in U.S But claims being a Non-US person Form W-8BEN;					
Certificate/ Written Explanation of Revocation of US Nationality; a	and				
A non-US passport					
Person with U.S address/ Telephone number/ U.S signatory/ Other U.S links (accepts being a U.S person) Form W-9					
Person with U.S address/ Telephone number/ U.S signatory/ Other U.S links (claims being a Non-U.S person) Form W-8BEN; OR					
ID Document showing permanent address (which should not be a	US address)				
Other document/ US withholding certificate provided by the customer to support a claim (if applicable) Form W-8ECI (claim that income is effectively connected with trade or business within the US)					
Form 8233/ W-4 (Claim that applicant is receiving compensation for perso					
Form W-8IMY (Claim that the person is acting as an Intermediary)					
No FATCA Documentation Required					
FATCA Classification of Applicant(s)	Applicant 1	Applicant 2			
 US Person; Applicant responds 'Yes' to S. No. 1 (Section B); or Applicant responds 'Yes' to any question from S. No. 2 to S. No. 					
US Tax Identification No. (TIN); US Social Security No. (SSN), Individual Taxpayer Identification. No. (ITIN) or US Employer Identification No.(EIN) as mentioned in Form W-9 provided by the customer					
 2. Non-US Person; Applicant responds 'No' to all questions from S. No. 1 to S. No. 5 (no documentation required); or Applicant responds 'Yes' to any question from S. No. 2 to 5, claims being a non-US person & provides required documentation (as per section B). 					
3. Recalcitrant Applicant refuses to provide required documentation (as per section B) or refuses to provide confirmation (as per section C). Accounts of such applicant will not be opened.					
FATCA Classification of Account					
US Account	Any of the applicants has been classified as	US person.			
Non-US Account	All of the applicants have been classified as	non-US person.			
Recalcitrant Account	Any applicant has been marked as Recalciti (Only Applicable to Existing Account Holders)				

Branch Authorization

We confirm that the responses of the applicant(s) to checks in Section B have been cross verified against information provided by them in the Account Opening Form and during the KYC process and that no discrepancy has been found therein. We also confirm that duly signed and completed FATCA Documentation has been obtained from ALL applicants as established through Section C and marked above. Moreover, each applicant has been classified and accordingly the account status has been marked above.

	Branch Officer	Operations/ Branch Manager
Signature: Date:		
Date.		