

| FATCA Documentation Checklist | Applicant 1 | Applicant 2 |
|---|--|--|
| Person with U.S citizenship/ U.S Green Card/ U.S residence Form W-9 | <input type="checkbox"/> | <input type="checkbox"/> |
| Person born in U.S Form W-9 | <input type="checkbox"/> | <input type="checkbox"/> |
| Person born in U.S But claims being a Non-US person Form W-8BEN; Certificate/ Written Explanation of Revocation of US Nationality; and A non-US passport | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> |
| Person with U.S address/ Telephone number/ U.S signatory/ Other U.S links (accepts being a U.S person) Form W-9 | <input type="checkbox"/> | <input type="checkbox"/> |
| Person with U.S address/ Telephone number/ U.S signatory/ Other U.S links (claims being a Non-U.S person) Form W-8BEN; OR ID Document showing permanent address (which should not be a US address) | <input type="checkbox"/> <input type="checkbox"/> | <input type="checkbox"/> <input type="checkbox"/> |
| Other document/ US withholding certificate provided by the customer to support a claim (if applicable) Form W-8ECI (claim that income is effectively connected with trade or business within the US) Form 8233/ W-4 (Claim that applicant is receiving compensation for personal services performed in US) Form W-8IMY (Claim that the person is acting as an Intermediary) | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> |
| No FATCA Documentation Required | <input type="checkbox"/> | <input type="checkbox"/> |

| FATCA Classification of Applicant(s) | Applicant 1 | Applicant 2 |
|---|--------------------------|--------------------------|
| 1. US Person; • Applicant responds 'Yes' to S. No. 1 (Section B); or • Applicant responds 'Yes' to any question from S. No. 2 to S. No. 5 (Section B) and accepts being a US person. US Tax Identification No. (TIN); US Social Security No. (SSN), Individual Taxpayer Identification No. (ITIN) or US Employer Identification No.(EIN) as mentioned in Form W-9 provided by the customer | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Non-US Person; • Applicant responds 'No' to all questions from S. No. 1 to S. No. 5 (no documentation required); or • Applicant responds 'Yes' to any question from S. No. 2 to 5, claims being a non-US person & provides required documentation (as per section B). | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Recalcitrant Applicant refuses to provide required documentation (as per section B) or refuses to provide confirmation (as per section C). Accounts of such applicant will not be opened. | <input type="checkbox"/> | <input type="checkbox"/> |

| FATCA Classification of Account | |
|--|--|
| <input type="checkbox"/> US Account | Any of the applicants has been classified as US person. |
| <input type="checkbox"/> Non-US Account | All of the applicants have been classified as non-US person. |
| <input type="checkbox"/> Recalcitrant Account | Any applicant has been marked as Recalcitrant (Only Applicable to Existing Account Holders) |

| Branch Authorization | | | | | |
|--|--|----------------|----------------------------|--|--|
| We confirm that the responses of the applicant(s) to checks in Section B have been cross verified against information provided by them in the Account Opening Form and during the KYC process and that no discrepancy has been found therein. We also confirm that duly signed and completed FATCA Documentation has been obtained from ALL applicants as established through Section C and marked above. Moreover, each applicant has been classified and accordingly the account status has been marked above. | | | | | |
| Signature: Date: | <table border="1" style="width: 100%;"> <thead> <tr> <th style="background-color: #ff0000; color: white;">Branch Officer</th> <th style="background-color: #ff0000; color: white;">Operations/ Branch Manager</th> </tr> </thead> <tbody> <tr> <td style="height: 40px;"></td> <td style="height: 40px;"></td> </tr> </tbody> </table> | Branch Officer | Operations/ Branch Manager | | |
| Branch Officer | Operations/ Branch Manager | | | | |
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